



Kapitalmarkt Compliance (C.F. Müller Wirtschaftsrecht) (German Edition)

Markus Adick, Ingo Baulig, Marcus Bergmann, Malte Cordes, Boris Dürr, Tobias Eggers, Janine Fehn-Claus, Philipp Gehrman, Silke Glawischnig-Quinke, Laura Görtz, Uwe Heim, Jesco Idler, Götz G. Karrer, Christoph Kirschhöfer, Ursula Kleinert, Thorsten Kuthe, Markus Langfritz, Joachim Lorenzen, Christian May, Stephan Niermann, Katja Plückelmann, Michael Racky, Markus Rheinländer, Karl Richter, Ralf Bernhard Rinke, Barbara Roth, Mag.iur., Markus Rübenstahl, Miriam Schäfer, Christoph Schlossarek, Burkhard Schmitt, Susanne Stauder, LL.M., André-M. Szesny, Michaela Theißen, Sara Zacharias, Dominik Zeitz, Madeleine Zipperle

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Die strenge und stetig zunehmende Regulierung des Kapitalmarkts stellt Compliance-Verantwortliche in kapitalmarktorientierten Unternehmen immer wieder vor große Herausforderungen.

Das Handbuch bereitet das Kapitalmarktrecht verständlich und praxisgerecht auf und bietet eine Hilfe beim Aufbau bzw. der Effektivierung eines Compliance-Systems im Unternehmen. Der Aufbau folgt dem Adressatenkreis: Emittenten, Banken und Finanzdienstleister. So erhält der Praktiker Zugang zu den für ihn maßgeblichen Themenkreisen.

Die Autoren zeigen die enge Verzahnung des materiellen Kapitalmarktrechts mit dem Strafrecht. Fast alle Ge- oder Verbote in den Kapitalmarktgesetzen werden mit einer Geldbuße oder gar Geld- und Freiheitsstrafe geahndet. Die straf- und bußgeldrechtlichen Aspekte des Kapitalmarktrechts werden daher in einem eigenen Teil ausführlich und abschließend erläutert. Das Handbuch verbindet so die kapitalmarktrechtliche Compliance mit der Criminal Compliance.

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